

Uganda/ National Planning Authority (NPA)

TF Grant No. TFoB1265

ENVIRONMENTAL and SOCIAL COMMITMENT PLAN (ESCP)

November 2021

ENVIRONMENTAL AND SOCIAL COMMITMENT PLAN

1. The National Planning Authority (NPA) is implementing the “Support to National Planning Capacity Building and Greater Kampala Metropolitan Area Economic Development” (the *Project*). This Technical Assistance will support two components, Component 1.1 supports the National Spatial Planning Capacity and Component 1.2 supports the Greater Kampala Metropolitan Area (GKMA) Economic Development. The National Spatial Planning Capacity support covers (i) publicizing and raising awareness of the Uganda spatial development initiative, (ii) developing and adopting the standards that will guide spatial data capture, storage, integration, analysis and output, (iii) coordinating spatial data use and sharing for consolidation, and integrated planning, and (iv) building institutional capacity in spatial data analysis and use. The support to Greater Kampala Metropolitan Area (GKMA) Economic Development covers (i) enhancement of the capacity of the Greater Kampala Metropolitan Area urban institutions in the areas of Public Investment Management, (ii) undertaking feasibility study for the Greater Kampala Tourism Circuit project, and (iii) preparation of the land banking guidelines to operationalize the National Land Policy. The International Bank for Reconstruction and Development/International Development Association (the “Bank”), acting as administrator of grant funds under the Partnership for Support to the Implementation of Uganda’s National Development Plan II Multi-Donor Trust Fund, has agreed to provide financing for the Project.
2. NPA will implement material measures and actions so that the Project is implemented in accordance with the World Bank Environmental and Social Standards (*ESSs*). This Environmental and Social Commitment Plan (*ESCP*) sets out a summary of the material measures and actions.
3. NPA *will* also comply with the provisions of any other E&S documents required under the ESF and referred to in this ESCP, such as Environmental and Social Management Plans (ESMPs), Resettlement Action Plans (RAPs), Indigenous Peoples Plans (IPPs), and Stakeholder Engagement Plans (SEPs), and the timelines specified in those E&S documents.
4. NPA is responsible for compliance with all requirements of the ESCP even when implementation of specific measures and actions is conducted by the Local governments, agency or unit referenced in 1. above.
5. Implementation of the material measures and actions set out in this ESCP will be monitored and reported to the Bank by NPA through NPA as required by the ESCP and the conditions of the legal agreement, and the Bank will monitor and assess progress and completion of the material measures and actions throughout implementation of the Project.
6. As agreed by the Bank and NPA, this ESCP may be revised from time to time during Project implementation, to reflect adaptive management of Project changes and unforeseen circumstances or in response to assessment of Project performance conducted under the ESCP itself. In such circumstances, NPA, will agree to the changes with the Bank and will update the ESCP to reflect such changes. Agreement on changes to the ESCP will be documented through the exchange of letters signed between the Bank and the NPA. The NPA will promptly disclose the updated ESCP. Depending on the project, the ESCP may also specify the funding necessary for completion of a measure or action.
7. Where Project changes, unforeseen circumstances, or Project performance result in changes to the risks and impacts during Project implementation, NPA shall agree on the best way feasible to solicit financing needed to implement actions and measures to address such risks and impacts.

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
MONITORING AND REPORTING			
A	REGULAR REPORTING: Prepare and submit to the [Bank/Association] regular monitoring reports on the environmental, social, health and safety (ESHS) performance of the Project, including but not limited to the implementation of the ESCP, status of preparation and implementation of E&S documents required under the ESCP, stakeholder engagement activities, functioning of the grievance mechanism(s).	As part of the Project Reports to be submitted to the Bank under Article II 2.05 of the Agreement.	NPA
B	INCIDENTS AND ACCIDENTS: Promptly notify the [Bank/Association] of any incident or accident related to the Project which has, or is likely to have, a significant adverse effect on the environment, the affected communities, the public or workers. Provide sufficient detail regarding the incident or accident, indicating immediate measures taken or that are planned to be taken to address it, and any information provided by any contractor and supervising entity, as appropriate. Subsequently, as per the [Bank/Association]'s request, prepare a report on the incident or accident through the Environmental and Social incident reporting tool (ESIRT) and propose any measures to prevent its recurrence.	Notify the Bank not later than a week of learning of the incident or accident.	NPA
C	CONTRACTORS MONTHLY REPORTS: The project will use consultants to undertake Government institutional capacity building and develop the GKMA tourism circuit feasibility study, and Land Banking report and guidelines. Measures will be undertaken to ensure timely reporting as scheduled.	Throughout Project implementation as per the ToRs	NPA
ESS 1: ASSESSMENT AND MANAGEMENT OF ENVIRONMENTAL AND SOCIAL RISKS AND IMPACTS			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.1	ORGANIZATIONAL STRUCTURE: The Project Coordinator will be responsible for the implementation of the ESCP with qualified staff and resources to support management of ESHS risks and impacts of the Project including Environment, Health and Safety and Social specialists. One (1) Environment and one (1) Health, Safety and social personnel have been allocated to the project to supervise, advise and ensure compliance. As specified in the ToRs, one of the consultants undertaking the GKMA tourism circuit feasibility study will be an Environmental Expert. Among other expertise, the Consultancy Firm on preparation of a Report and Guidelines on Land Banking will also have an Environmental Specialist.	Before commencement of project activities throughout Project implementation.	NPA
1.2	ENVIRONMENTAL AND SOCIAL ASSESSMENT: The project entails capacity building, knowledge transfer through technical assistance and advisory services, and generation of decision-making tools and does not plan to get involved in actual physical investments. The GKMA tourism circuit feasibility study will be undertaken but actual implementation of the project will not be done during the project life cycle given the project scope which is limited to the feasibility study. Equally so, the preparation of the Report and Guidelines on Land Banking will not include actual acquisition of land and operationalization of the land bank. Rather, it will provide guidance on how land banking can be done by elaborating on issues like the required institutional and legal framework as per the scope of the project. Downstream risks resulting from the technical assistance including land acquisition and resettlement will be managed through raising awareness in line with the requirements of ESS5 and ESS10	Throughout Project implementation.	NPA
1.3	MANAGEMENT TOOLS AND INSTRUMENTS: Stakeholder Engagement Plans, implemented through the Environmental and Social Commitment Plan (ESCP), as required, in a manner acceptable to the Bank.	Throughout Project Implementation.	NPA

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
1.4	<p>MANAGEMENT OF CONTRACTORS: There will be consultants contracted to undertake Government institutional capacity building through training, GKMA tourism circuit feasibility study and development of Land Banking report and guidelines, and technical assistance and advisory services. Management of consultants will be undertaken to ensure timely delivery of agreed outputs as per the ToRs and workplan.</p>	On commencement of project activities throughout Project Implementation.	NPA
ESS 2: LABOR AND WORKING CONDITIONS			
2.1	<p>LABOR MANAGEMENT PROCEDURES: The Project shall be carried out in accordance with the applicable requirements of ESS2, in a manner acceptable to the Association, including through, inter alia, implementing adequate occupational health and safety measures (including emergency preparedness and response measures), setting out grievance redress arrangements for Project workers, and incorporating labor requirements into the ESHS specifications of the procurement documents and contracts with contractors and supervising firms.</p> <p>Contractors providing technical assistance will be required to prepare labor management procedures (LMP) to meet the ESS2 requirement.</p>	Before commencement of project activities throughout Project implementation.	NPA/ Contractors
2.2	<p>GRIEVANCE MECHANISM FOR PROJECT WORKERS: NPA shall establish, maintain, and operate a grievance mechanism in a manner and consistent with ESS2.</p>	Before commencement of project activities throughout Project implementation.	NPA
2.3	<p>OCCUPATIONAL HEALTH AND SAFETY (OHS) MEASURE: Adopt, implement and update the Occupational Health and Safety (OHS) measures and codes of conduct executed in line with the LMP in a manner acceptable to the Association.</p>	Throughout Project implementation.	NPA
ESS 3: RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT			

MATERIAL MEASURES AND ACTIONS		TIMEFRAME	RESPONSIBLE ENTITY/AUTHORITY
3.1	E-WASTE MANAGEMENT PLAN: The equipment to be procured under the project shall be disposed off following the National E-Waste Management strategy, applying the Public Procurement and Disposal of Public Assets regulations, and the NEMA guidelines. The facility for disposal shall be the national e-Waste Collection Centre that was established by National Enterprises Corporation in partnership with the National Environment Management Authority (NEMA)	N/A	NPA
3.2	RESOURCE EFFICIENCY AND POLLUTION PREVENTION AND MANAGEMENT: All the activities under the project are pollution free and are in line with the National Environment Management Authority (NEMA) and are Green in nature as per the specifications under ESS3.	N/A	

ESS 4: COMMUNITY HEALTH AND SAFETY			
4.1	TRAFFIC AND ROAD SAFETY: There are no physical actions under the Project related to community Health and Safety since most of the activities are of analytical and advisory nature. No heavy equipment is envisaged. No potential traffic is envisaged due to project activities. Therefore, there are no mitigation measures to be undertaken under ESS4.	N/A	
4.2	COMMUNITY HEALTH AND SAFETY: Relevant aspects of this standard shall be considered, as needed including measures to: minimize the potential for community exposure to communicable diseases; manage the risks of labor influx; and prevent and respond to sexual exploitation and abuse, and sexual harassment.	Throughout Project implementation.	NPA
4.3	GBV AND SEA RISKS: Risks of GBV and SEA related to interaction with project workers will be mitigated through execution of codes of conduct by contracted workers. The workers employed by the PCU will be bound by the terms of their existing working arrangements.	From commencement of the project throughout Project implementation.	NPA

4.4	SECURITY PERSONNEL: There are no actions under the Project related to security Risks and therefore there are no mitigation measures to be undertaken under ESS4.	N/A	
ESS 5: LAND ACQUISITION, RESTRICTIONS ON LAND USE AND INVOLUNTARY RESETTLEMENT			
5.1	RESETTLEMENT PLANS: Physical displacement is not envisaged during the project life cycle. However, based on the recommendations of the feasibility study, the development of the GKMA tourism circuit may require down stream land acquisition and as such Resettlement Action Plans (RAPs) will be developed.	N/A	
5.2	GRIEVANCE MECHANISM: The project scope does not include land acquisition and resettlement.	N/A	
ESS 6: BIODIVERSITY CONSERVATION AND SUSTAINABLE MANAGEMENT OF LIVING NATURAL RESOURCES			
6.1	BIODIVERSITY RISKS AND IMPACTS: The National spatial planning subcomponent and support to GKMA will have no impact on biodiversity therefore there are no mitigation measures to be undertaken under ESS6.	N/A	
ESS 7: INDIGENOUS PEOPLES/SUB-SAHARAN AFRICAN HISTORICALLY UNDERSERVED TRADITIONAL LOCAL COMMUNITIES			
7.1	INDIGENOUS PEOPLES PLAN: The project will have no social risk to the indigenous peoples. The feasibility study for the Tourism circuit will be in the Greater Kampala area which does not host indigenous peoples. The Land Banking Guidelines must however take into consideration protection of the indigenous peoples against downstream risks through culturally appropriate consultations.	N/A	
7.2	GRIEVANCE MECHANISM: The project will have no grievance mechanism for indigenous people since there are no anticipated complaints given the scope of the project	N/A	NPA
ESS 8: CULTURAL HERITAGE			
8.1	CHANCE FINDS: The ESMP will try to address possibility of any chance finds. A chance finds procedure will be prepared for the project.	Throughout the project cycle.	NPA

ESS 9: FINANCIAL INTERMEDIARIES			
9.1	ESMS: There are no actions under the Project related to Financial Intermediaries, therefore there are no mitigation measures to be undertaken under ESS9”.	N/A	
9.2	FI ORGANIZATIONAL CAPACITY: There are no actions under the Project related to Financial Intermediaries, therefore there are no mitigation measures to be undertaken under ESS9”.	N/A	
9.3	SENIOR MANAGEMENT REPRESENTATIVE: There are no FI issues under the Project related to Financial Intermediaries, therefore there are no need to assign any one responsibility to monitor the FIs under ESS9”.	N/A	
ESS 10: STAKEHOLDER ENGAGEMENT AND INFORMATION DISCLOSURE			
10.1	STAKEHOLDER ENGAGEMENT PLAN PREPARATION AND IMPLEMENTATION: Adopt measures in Stakeholder Engagement Plan (SEP) to ensure that the carrying out of Project activities includes stakeholder engagement and information disclosure consistent with ESS10, in a manner acceptable to the Association.	A draft Stakeholder Engagement Plan will be prepared and disclosed prior to project appraisal. The SEP will be implemented at the start of the project, and throughout the project life cycle.	NPA
10.2	PROJECT GRIEVANCE MECHANISM: Adopt measures grievance redress mechanisms under the SEP consistent with ESS10, in a manner acceptable to the Association.	Continued engagement with the consultants throughout the Project will be done to clarify on issues and mitigate potential grievances.	NPA
CAPACITY SUPPORT (TRAINING)			
CS1	Training to be given includes training the project team on: <ul style="list-style-type: none"> Stakeholder mapping and engagement 	Training expected to take place before the start of the project.	NPA and Bank

CS2	There will be no specific training on occupational health and safety including on emergency prevention and preparedness and response arrangements to emergency situations but under the orientation training, this topic will also be covered.	N/A	
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